

FORM PTO-1449 (modified)  
 To: U.S. Department of Commerce  
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 Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT  
 BY APPLICANT



Atty. Dkt. No.	M#	Client Ref..
		RECEIVED NOV 21 2001 Technology Center 2600
0281109		

Applicant: Althouse et al.

Appln. No.: 09/929,339

Filing Date: August 15, 2001

Examiner: Unassigned Group Art Unit: Unassigned

Date: November 20, 2001

Page 1 of 2

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
CL	AR 4,589,285	05/1986	Savit	73	655	
CL	BR 5,022,730	06/1991	Cimimi et al.	385	27	
CL	CR 5,208,819	05/1993	Huber	372	32	
CL	DR 5,380,995	01/1995	Udd et al.	250	227.18	
CL	ER 5,392,117	02/1995	Belleville et al.	356	480	
CL	FR 5,394,415	02/1995	Zucker et al.	371	24	
CL	GR 5,397,891	03/1995	Udd et al.	250	227.18	
CL	HR 5,401,956	03/1995	Dunphy et al.	250	227.18	
CL	IR 5,430,574	07/1995	Tehrani	359	378	
CL	JR 5,591,965	01/1997	Udd	250	227.18	
CL	KR 5,673,129	09/1997	Mizrahi	398	95	
CL	LR 5,680,489	10/1997	Kersey	385	12	
CL	MR 5,691,989	11/1997	Rakuljic et al.	372	20	
CL	NR 5,706,301	01/1998	Lagerström	372	32	
CL	OR 5,780,843	07/1998	Cliché et al.	250	224	
CL	PR 5,818,585	10/1998	Davis et al.	366	477	
CL	QR 5,825,792	10/1998	Villeneuve et al.	372	32	
CL	RR 5,838,437	11/1998	Miller et al.	356	478	

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
SR								
TR								
UR								
VR								
WR								

OTHER: (including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

XR	Derickson, Dennis, "Fiber Optic Test and Measurement", Hewlett Packard Co., 1998, pp. 95-109.			
YR				
ZR				
AAR				
BBR				

Examiner *Christina Y. Young* Date Considered: *3-5-05*

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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Page 2 of

Applicant: Althouse et al.

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Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS:

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
Cl	AR 5,889,901	03/1999	Anderson et al.	385	12	
Cl	BR 5,892,582	04/1999	Bao et al.	356	519	
Cl	CR 5,915,052	06/1999	Ball	385	24	
Cl	DR 5,956,355	09/1999	Swanson et al.	372	70	
Cl	ER 5,963,567	10/1999	Veselka et al.	372	21	
Cl	FR 5,982,962	11/1999	Koops et al.	385	37	
Cl	GR 5,987,197	11/1999	Kersey	385	24	
Cl	HR 5,991,476	11/1999	Baney et al.	385	16	
Cl	IR 5,995,255	11/1999	Giles	398	34	
Cl	JR 6,008,920	12/1999	Hendrix	398	79	
Cl	KR 6,044,189	03/2000	Miller	385	37	
Cl	LR 6,072,567	06/2000	Sapack	356	32	
Cl	MR 6,097,487	08/2000	Kringlebotn et al.	356	450	
Cl	NR 6,111,681	08/2000	Mizrahi et al.	398	196	
Cl	OR 6,115,122	09/2000	Bao et al.	356	480	
Cl	PR 6,118,530	09/2000	Bouevitch et al.	356	308	
Cl	QR 6,134,003	10/2000	Tearney et al.	356	479	
Cl	RR 6,188,499 B1	02/2001	Majima	385	12	
Cl	SR 6,249,365 B1	06/2001	Mizrahi et al.	385	9	

FOREIGN PATENT DOCUMENTS:

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
	TR								
	UR								
	VR								
	WR								
	XR								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):

YR			
ZR			
AAR			
BBR			

Examiner *Elizabeth Young* Date Considered: *3-5-05*

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re PATENT APPLICATION of

Inventor(s): ALTHOUSE et al.  
 Atty.Dkt: 082134/0281109  
 Appn. No.: 09/929,339  
 Filed: August 15, 2001  
 FOR: OPTICAL CHANNEL MONITOR UTILIZING MULTIPLE FABRY-PEROT FILTER PASS-BANDS

Group Art Unit: 2633  
 Examiner: UNASSIGNED

Date: November 20, 2001

**IDS LETTER CITING APPLICATION(S)  
AND ATTACHING PTO-1449/DOCUMENTS**

The Examiner's attention is directed to the following application(s) of:

Examiner's Initials*	FIRST INVENTOR (Last Name Only)	APPLICATION NO. & Filing Date	ENCLOSED
<i>cl</i>	JOHNSON	09/808,222 March 15, 2001	<input checked="" type="checkbox"/> Spec <input checked="" type="checkbox"/> Drawings <input checked="" type="checkbox"/> Current Claims <input type="checkbox"/> Other
			<input type="checkbox"/> Spec <input type="checkbox"/> Drawings <input type="checkbox"/> Current Claims <input type="checkbox"/> Other
			<input type="checkbox"/> Spec <input type="checkbox"/> Drawings <input type="checkbox"/> Current Claims <input type="checkbox"/> Other

**PLEASE DO NOT PRINT** the above information on the patent  
which results from the subject application.

Consideration of each listed application is earnestly solicited since unpublished patent applications are contemplated as IDS material; see the exception in Rule 98(a)(2)(iii) and note the penultimate sentence of MPEP 609.

Should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 03-3975, under Order No. 082134/0281109 and proceed to consider this Information Disclosure Statement.

Further, in keeping with MPEP 609, Subsec. C(2), 2nd para., line 10 to end of the paragraph (especially note lines 18-25) **PLEASE RETURN A COPY OF THIS LETTER** with the Examiner's initials adjacent each above listing so that applicant will know that each listed application has been considered as required by PTO policy. Thanks.

\*The Examiner's initials adjacent a citation indicates he/she has considered the cited application relative to the subject application.

*Christina Y. Leung*  
(Examiner)

3-5-05



PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0351-0031

**U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**

Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

## Sheep

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**Complete if Known**

Application Number	09/929,339
Filing Date	08/15/2001
First Named Inventor	BRYAN L ALTHOUSE
Art Unit	2633
Examiner Name	(Unknown)
Attorney Docket Number	082134-0281109

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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
LL	2	EP 0 773 640 A2	May 14, 1997	Delavaux et al.	
LL	3	WO 00/13350	9 March 2000	Alavie et al.	
LL	4	WO 00/41351	13 July 2000	Salomaa	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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